

# EBSI Private

## Fees and Charges

(Effective on August 28, 2023)

---

Item	Page
A. HK Shares and Warrants .....	2
B. China Connect – Shenzhen & Shanghai A Shares .....	6
C. Stock Options .....	7
D. B-Shares .....	8
E. Overseas Shares .....	9
F. Futures .....	21
G. Forex .....	23
H. Bonds .....	23
I. Mutual Funds .....	23
J. Unit Linked Products .....	24
K. Capital Investment Entrant Scheme .....	24
L. Others .....	24

The information in this table is for customer's reference with regard to the fees and charges for transactions and various offers or services offered by the licensed corporations or registered insurance brokers of the China Everbright Securities International Company Limited (collectively referred to as "Everbright Securities International" or "EBSI"). EBSI reserves the right to revise, add or replace any fees, charges, special offers and other services from time to time. This fees and charges table is not applicable to those services offered via the EBSI Direct platform. For further enquiries, please contact your Wealth Management Specialist. Other relevant cost, expenses, fees, charges or interest which may be levied for customer transactions or services by banks, custodians or other third parties are not covered in this table. If there is any inconsistency or conflict between the English and Chinese versions of this table, the English version shall prevail.

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## A. HK Shares and Warrants

### 1. General Transactions

i)	Basic Commission <sup>1</sup>	0.25% on gross amount (Min: HKD100)	Each trade will be settled in the relevant stock's trading currency designated by HKEX. If the trade is not settled in HKD, fees and charges will be calculated in HKD equivalent and collected in the relevant trading currency.
ii)	Pre-IPO Investing Commission (Grey Market)	0.375% on gross amount (Min: HKD150)	
iii)	Stamp Duty	0.13% on gross amount (rounded up to the nearest dollar)	
iv)	SFC Transaction Levy	0.0027% on gross amount	
v)	HKEX Trading Fee	0.00565% on gross amount	
vi)	CCASS Settlement Fee	0.004% on gross amount (Min: HKD5 / Max: HKD200)	
vii)	FRC Transaction Levy	0.00015% on gross amount	

### 2. Statement<sup>1</sup>

i)	Statement	By Post	By Email	Individual clients who are aged 65 or above and institutional clients will be exempted.
		HKD30 per customer per calendar year (Please click <a href="#">here</a> to enroll for e-statement service)	Free	
ii)	Copies of Account / Statement	Within a year	Over a year	
		HKD50 per duplicate copy	HKD200 per duplicate copy	

### 3. Financing Interest Rate<sup>1</sup>

i)	Margin	Prime Rate + 1.8% p.a. (Applicable to New Margin Clients) Default Interest Rate (For Exceed Loan Limit, Applicable to All Currencies): 18% p.a.	For the prime rate, please refer to Standard Chartered Bank (HK) Limited from time to time.
ii)	Charges on Late Settlement of Share Transactions	Cash client bought transaction: Late payment is subject to interest charges on the net outstanding due amount for the overdue period (from the settlement date)	
		First 3 days	Over 3 days
		Prime Rate + 3% p.a.	18% p.a.
iii)	Handling Fee on Forced Liquidation	HKD500 per case + 1% on liquidated amount	

#### 1. CIES Discretionary Portfolio Management Services:

- Basic commission is 0.125% of gross transaction amount per stock trade.
- Monthly statement issued by mail or email will be provided. Monthly statement charges and reprinting charges are waived.
- It does not include margin financing service.
- It does not include market data service.

Services		Charges (In Hong Kong Dollars unless specified otherwise)		Remarks
<b>4. Market Data<sup>2</sup></b>				
i)	Internet Trading Platform			
	a) Snapshot Real-time Quotes Services (Provided by ET Net Limited)	<ul style="list-style-type: none"> <li>- Earn 300 free quotes upon new account activation</li> <li>- Earn 100 bonus quotes in the following month from each successfully executed trade</li> <li>- Purchase additional quotes at HKD10/100 only</li> </ul>		Click <a href="#">here</a> to preview
	b) Streaming Real-time Quotes Services			Click <a href="#">here</a> to preview
	· AAStocks Streaming Real-time Quotes Service	HKD298 per month		
	· AAStocks Streaming Real-time Quotes Service (+ A share)	HKD498 per month		
	c) SMS	HKD1 per price alert		Free for trade confirmation
ii)	HK Trader Pro (Simplified Chinese) (Provided by Shenzhen Fortune Trend Technology Co Ltd)			
	· Streaming Real-time Quotes Services			
		Mainland China Residents	Others	
		HKD230 per month	HKD288 per month	Click <a href="#">here</a> to preview
<b>5. CCASS Depository Services</b>				
i)	Physical Stock Deposit	Nil		
ii)	Physical Stock Withdrawal	HKD5 per board lot (Min: HKD100)		
iii)	Stock Deposit Fee (via CCASS)	Nil		
iv)	Stock Withdrawal Fee (via CCASS)	0.05% on gross amount (Min: HKD50 / Max: HKD500 per each stock withdrawal)		
v)	Transfer Deed	HKD5 for each transfer deed		
vi)	Express Physical Stock Re-registration	HKD200 per stock		

**2. CIES Discretionary Portfolio Management Services:**

- Basic commission is 0.125% of gross transaction amount per stock trade.
- Monthly statement issued by mail or email will be provided. Monthly statement charges and reprinting charges are waived.
- It does not include margin financing service.
- It does not include market data service.

Services		Charges (In Hong Kong Dollars unless specified otherwise)		Remarks
<b>6. Nominee Services and Corporate Actions</b>				
i)	Custody Fee	Waived		
ii)	Scrip Fee	Scripless	Physical Scrip	This is applicable when cash dividends, scrip dividends, bonus shares, bonus warrants or bonus rights are received by client for the first time on shares bought or deposited by client before book-close date.
		HKD2 / RMB2 / USD0.3 per board lot (Applicable to shares held under HKSCC Nominees before book-close date)	HKD2.5 per certificate (Applicable to shares held under our Nominee Company before book-close date)	
iii)	Cash/Scrip Dividend • Collection Fee	0.5% of cash dividend collected (Min: HKD30 / RMB30 / USD5)		Fees are charged based on the denominated / distribution currency of the relevant stock.
iv)	Bonus Share • Collection Fee	HKD1 / RMB1 per board lot (Min: HKD30 / RMB30) (Max: HKD500 / RMB500)		
v)	Bonus Warrant/Rights • Collection Fee	Waived		
vi)	Physical Stock Registration Fee	HKD2.5 per certificate + HKD100 handling fee		
vii)	• Rights Subscriptions • Warrant Conversions • Covered Warrant / Inline Warrant Auto Exercise	HKD1 / RMB1 per board lot (Min: HKD30 / RMB30)		
viii)	Handling Fee - Callable Bull / Bear Contract	HKD1.8 / RMB1.8 per board lot (Min: HKD30 / RMB30)		
ix)	Dividend Claim/Bonus Shares	1 % on gross offer amount (Min: HKD500 / RMB500)		
x)	Cash/Open Offer Charges	0.25% on gross offer amount (Min: HKD100 / RMB100)		
xi)	Special/Conditional Offer Charges	0.25% on gross offer amount (Min: HKD100 / RMB100)		

Services		Charges (In Hong Kong Dollars unless specified otherwise)		Remarks
<b>7. Other Services</b>				
i)	IPO Application Handling Fee	Non-financing and through nominee services	Through financing services	Currency of this fee varies with the trading currency of the stock.
		Waived	HKD100 (Plus interest)	
ii)	Telegraph Transfer (T/T)	HKD150 + actual bank charge		
iii)	Returned Check Charge	HKD300 per case		
iv)	Stop Payment Charge	HKD300 per case		
v)	Check Mark Good	HKD250 per case		
vi)	Account Balance Confirmation Certificate Letter	HKD100 per certificate per account		HKD100 for each additional account.
vii)	Cash Withdrawal	Free		This only applies to customers who request transfers of funds from trading accounts to bank accounts of HSBC, Hang Seng Bank, Bank of China (HK) or Standard Chartered Bank.
	a) Same-day Transfer			
	b) Local Check Issuance	HKD100 per case		This applies to transfers of funds from trading account to banks other than HSBC, Hang Seng Bank, Bank of China (HK) and Standard Chartered Bank; or pick-up of crossed check in person by customers at our Customer Settlement Counter.
	c) Local CHATS (Same value day)	HKD150		

Services	Charges (In RMB unless specified otherwise)	Remarks
----------	--	---------

## B. China Connect – Shenzhen & Shanghai A Shares

### 1. General Transactions Charge

i)	Basic Commission	0.25% on gross amount (Min: RMB100)	Charges for Shenzhen A Shares effective from Dec 5, 2016
ii)	Handling Fee	A Shares: 0.00341% of the consideration ETFs: 0.004% of the consideration	
iii)	Securities Management Fee	A Shares: 0.002% of the consideration ETFs: Waived	
iv)	Transfer Fee	A Shares: ChinaClear: 0.001% of the consideration; and HKSCC: 0.002% of the consideration  ETFs: ChinaClear: Waived HKSCC: 0.002% of the consideration	
v)	Stamp Duty	A Shares: 0.05% of the consideration of each transaction on the seller  ETFs: Waived	

### 2. CCASS Depository Services

i)	CCASS's Portfolio Fee	- 0.01% / 365 x daily stock portfolio value of Shenzhen & Shanghai A shares in HK dollars equivalent in your account(s) (Converted based on prevailing exchange rate of RMB/HK dollars) - Daily stock portfolio value of Shenzhen & Shanghai A shares is calculated on each calendar day (including all Saturdays, Sundays and public holidays), accrued daily and collected on a monthly basis (Min: HKD30 per month)	In HK dollars  Charges for Shenzhen A Shares effective from Dec 5, 2016
ii)	Stock Deposit Fee (via CCASS)	Nil	
iii)	Stock Withdrawal Fee (via CCASS)	0.05% on gross amount (Min: HKD50 / Max HKD500 per stock withdrawal)	

### 3. Fees and Taxes

i)	Dividend Tax	- Applicable to cash dividend and bonus issue - Subject to clarification with State Administration of Taxation	Charged by State Administration of Taxation
ii)	Capital Gain Tax	- Applicable to share disposal - Subject to clarification with State Administration of Taxation	Charges for Shenzhen A Shares effective from Dec 5, 2016

Remarks: EBSI will endeavor to update the relevant fees and charges of item B. Please visit [HKEX's official website](http://hkex.com.hk) for the latest announcement.

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## C. Stock Options

### 1. General Charges

i)	Commission	1% of contracted value (Min: HKD100)	
----	------------	---	--

### 2. Third-party Transaction Charges

i)	Exchange Fee	Tier 1: HKD3 per contract	
		Tier 2: HKD1 per contract	
		Tier 3: HKD0.5 per contract	

### 3. Fees due to Option Exercise (Physical Delivery of the Underlying Shares)

i)	Exercise Fee	HKD2 per contract	
ii)	Stamp Duty	0.13% on gross amount (rounded up to the nearest dollar)	
iii)	SFC Transaction Levy	0.0027% on gross amount	
iv)	HKEX Trading Fee	0.005% on gross amount	
v)	CCASS Settlement Fee	0.004% on gross amount (Min: HKD5 / Max: HKD200)	
vi)	Commission	0.25% on gross amount (Min: HKD100)	
vii)	FRC Transaction Levy	0.00015% on gross amount	

### 4. Other Charges

i)	Fund Transfer by CHATS (Same value day) - Written / Online Request	HKD150	
ii)	Telegraphic Transfer (T/T)	HKD150 + actual bank charge	
iii)	Returned Check Charge	HKD300 per case	
iv)	Stop Payment Charge	HKD300 per case	
v)	Check Mark Good	HKD250 per case	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## D. B-Shares

### Shanghai

i)	Commission	0.5% on gross amount (Min: USD25)	
ii)	Stamp Duty	0.05% on gross amount	On seller side
iii)	SSE Levy	0.00341% on gross amount	
iv)	Clearing Fee	0.002% on gross amount (Max: USD50)	
v)	CSRC Levy	0.002% on gross amount	
vi)	Nominee Services and Corporate Actions		
	a) Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: USD20)	
	b) Bonus Share · Collection Fee	USD20 per transaction	
	c) B shares to H shares Conversion	USD20 per transaction	
	d) Other Corporate Actions	0.10% on gross offer amount (Min: USD20)	
vii)	Custody Fee	Waived	

### Shenzhen

i)	Commission	0.5% on gross amount (Min: HKD100)	
ii)	Stamp Duty	0.05% on gross amount	On seller side
iii)	SSE Levy	0.00341% on gross amount	
iv)	Clearing Fee	0.002% on gross amount (Max: HKD500)	
v)	CSRC Levy	0.002% on gross amount	
vi)	Nominee Services and Corporate Actions		
	a) Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD100)	
	b) Bonus Share · Collection Fee	HKD100 per transaction	
	c) B shares to H shares Conversion	HKD100 per transaction	
	d) Other Corporate Actions	0.10% on gross offer amount (Min: HKD100)	



vii)	Custody Fee	Waived	
------	-------------	--------	--

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## E. Overseas Shares<sup>3</sup>

### U.S. Shares

		Trade via US Trader II <sup>4</sup> (for existing US Trader II clients only)	Trade via UStock Pro (for all other US Stock trading clients)	
--	--	--	---	--

#### 1. General Charges

i)	Commission	USD0.03 per share (Order ≤2,000 shares)  USD0.025 per share (Order >2,000 shares or ≤5,000 shares)  USD0.02 per share (Order >5,000 shares)  (Min: USD15)	Standard Rate: 0.5%-1.5% (Min: USD50)	
ii)	SEC fee	Included in the commission	0.0008% of trade value	Only applied on Sell Side

#### 2. Fund Transfer

i)	Fund Deposit	Free	Free	If the fund is not from trades or corporate events, a 10-business day holding period before withdrawal is required.
ii)	Fund Withdrawal	USD20	Free	

#### 3. Stock Transfer

i)	Stock Deposit	Scripless Deposit: Free	Scripless Deposit: Free	No physical stock deposit or withdrawal
ii)	Stock Withdrawal	Scripless Withdrawal: USD50 per stock	Scripless Withdrawal: USD50 per stock	No physical stock deposit or withdrawal

3.a. The following Custody Fee may be charged in HKD equivalent.

b. There may be telegraph transfer T/T charges that apply to certain securities or particular security trading services provided that are charged by the relevant service providers. The Customer is fully responsible for such charges.

4. For customers who send an extraordinary number of non-marketable limit orders to the NYSE, and who route such orders directly to NYSE, rather than using U.S. Trader II Smart Routing, system reserves the right to impose a surcharge in order to defray specialist order handling charges.

Services		Charges (In Hong Kong Dollars unless specified otherwise)		Remarks
		Trade via US Trader II <sup>5</sup> (for existing US Trader II clients only)	Trade via UStock Pro (for all other US Stock trading clients)	

#### 4. Other Fees

i)	Nominee Services and Corporate Actions a) Cash/Script Dividend · Collection Fee  b) Bonus Shares · Collection Fee  c) Other Corporate Actions	Free  Free  0.10% on gross offer amount (Min: USD20)	0.50% on gross dividend (Min: USD20)  USD20 per transaction  0.10% on gross offer amount (Min: USD20)	
ii)	Custody Fee	Waived	Waived	
iii)	Market data	Please <a href="#">visit</a> Market Data Fees page of Interactive Brokers Platform for details  Remarks: The fee for U.S. Securities and Futures Value Bundle / U.S. Securities Lite Value Bundle will be waived if the clients have traded 6,000 shares or above within a month.	HKD8 per month (for non-professional subscription)  HKD203 per month (for professional subscription)	
iv)	Monthly Activity Fee (Levied by Interactive Brokers) a) Average Cash/Stock holdings of USD 100,000 or more b) Trading of 1 transaction or more c) All others	Waived  Waived  Up to USD10*	N/A	*The actual Fee levied may be less according to the accrued commission charged.
		Remarks: Initial deposit to activate a U.S. trading account is USD5,000. If there is no deposit in 45 days after account opening, the account will be automatically closed by systems.		

5. For customers who send an extraordinary number of non-marketable limit orders to the NYSE, and who route such orders directly to NYSE, rather than using U.S. Trader II Smart Routing, system reserves the right to impose a surcharge in order to defray specialist order handling charges.

v)	ADR Fee	USD0.01-0.05 per share (charged based on settled positions held on record date)	USD0.01-0.05 per share (charged based on settled positions held on record date)	Charged by Issuer's Depository Bank
Services		Charges (In Hong Kong Dollars unless specified otherwise)		Remarks

## Australia Shares

### 1. General Charges

i)	Commission	0.50%-1.50% (Min: AUD150)		
ii)	T/T Charge	HKD450 for net payment to Australia on the same trade date and settlement date		Charge maybe waived if settled amount in HKD, subject to the order channel

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: AUD20)		
ii)	Bonus Shares · Collection Fee	AUD20 per transaction		
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: AUD20)		
iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock		No physical stock deposit or withdrawal
v)	Custody Fee	Waived		

Services	Charges (In Hong Kong Dollars unless specified otherwise)		Remarks
----------	---	--	---------

## Canada Shares

		For CAD Denominated Shares	For USD Denominated Shares	
<b>1. General Charges</b>				
i)	Commission	0.40%-1.50% (Min: CAD50)	0.4% (Min: USD40)	
<b>2. Nominee Services and Corporate Actions</b>				
i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: CAD20)	0.5% on gross dividend (Min: USD20)	
ii)	Bonus Shares · Collection Fee	CAD20 per transaction	USD20 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: CAD20)	0.10% on gross offer amount (Min: USD20)	
iv)	Stock Deposit / Withdrawal	CAD20 per stock (Scripless Withdrawal: HKD650 per stock)	USD20 per stock (Scripless Withdrawal: HKD650 per stock)	
v)	Custody Fee	Waived	Waived	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## France Shares

### 1. General Charges

i)	Commission	0.60%-1.00% (Min: EUR100)	
ii)	Financial Transaction Tax	0.30% on gross consideration	For Buy Side only, applies to France stock which Capitalisation exceeds EUR1 billion

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.7% on gross dividend (Min: EUR50)	
ii)	Bonus Shares · Collection Fee	EUR50 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: EUR50)	
iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	No physical stock deposit or withdrawal
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.05% / 12 (Min: EUR50 per month)	

## Germany Shares

### 1. General Charges

i)	Commission	0.60%-1.00% (Min: EUR100)	
----	------------	------------------------------	--

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.7% on gross dividend (Min: EUR50)	
ii)	Bonus Shares · Collection Fee	EUR50 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: EUR50)	
iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	No physical stock deposit or withdrawal

v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.05% / 12 (Min: EUR50 per month)	
----	-------------	---	--

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## Indonesia Shares

### 1. General Charges

i)	Commission	0.70%-1.50% (Min: IDR550,000)	
ii)	Levy Fee	0.0433% on gross consideration	
iii)	Withholding Tax	0.10% on gross consideration	Only applied on sell trade

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD150)	
ii)	Bonus Shares · Collection Fee	HKD150 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: HKD150)	
iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	No physical stock deposit or withdrawal
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.28% / 12 (Min: USD30 per month) + 10% tax on the custody fee charged monthly	

## Italy Shares

### 1. General Charges

i)	Commission	0.60%-1.00% (Min: EUR100)	
ii)	Financial Transaction Tax	0.12% on gross consideration on all regulated markets, and 0.22% on gross consideration in OTC markets	For Buy Side only

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.7% on gross dividend (Min: EUR50)	
ii)	Bonus Shares · Collection Fee	EUR50 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: EUR50)	

iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	No physical stock deposit or withdrawal
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.05% / 12 (Min: EUR50 per month)	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## Japan Shares

### 1. General Charges

i)	Commission	0.50%-1.50% (Min: JPY5,500)	
ii)	T/T Charge	HKD450 for net payment to Japan on the same trade date and settlement date	Charge maybe waived if settled amount in HKD, subject to the order channel

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: JPY2,000)	
ii)	Bonus Shares · Collection Fee	JPY2,000 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: JPY2,000)	
iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	No physical stock deposit or withdrawal
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.03% / 12 (Min: JPY 2,500 per month)	

## Korea Shares

### 1. General Charges

i)	Commission	0.50%-1.50% (Min: KRW60,000)	
ii)	Transaction Tax	0.23% on gross consideration	Only applied on sell trade

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD150)	
ii)	Bonus Shares · Collection Fee	HKD150 per transaction	

iii)	Other Corporate Actions	0.10% on gross offer amount (Min: HKD150)	
iv)	Stock Deposit / Withdrawal	N/A	No physical stock deposit or withdrawal
v)	Custody Fee	Waived	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## Malaysia Shares

### 1. General Charges

i)	Commission	0.60%-1.50% (Min: MYR190)	
ii)	Stamp Duty	0.10% on gross consideration (Min: MYR1 / Max: MYR1,000)	This charge is only for listed stocks
iii)	Clearing Fee	0.03% on gross consideration (Min: MYR10 / Max: MYR1,000)	

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD150)	
ii)	Bonus Shares · Collection Fee	HKD150 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: HKD150)	
iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	No physical stock deposit or withdrawal
v)	Custody Fee	MYR50 per month	

## Netherlands Shares

### 1. General Charges

i)	Commission	0.60%-1.00% (Min: EUR100)	
----	------------	------------------------------	--

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.7% on gross dividend (Min: EUR50)	
ii)	Bonus Shares · Collection Fee	EUR50 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: EUR50)	
iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	No physical stock deposit or withdrawal



v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.05% / 12 (Min: EUR50 per month)	
----	-------------	---	--

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## Philippines Shares

### 1. General Charges

i)	Commission	0.50%-1.50% (Min: PHP2,400)	
ii)	VAT	0.03% on gross consideration (Min: PHP30)	
iii)	Transaction Tax	0.50% on gross consideration (Min: PHP1,000)	
iv)	Clearing Fee	0.01% on gross consideration	

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD150)	
ii)	Bonus Shares · Collection Fee	HKD150 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: HKD150)	
iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	No physical stock deposit or withdrawal
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.08% / 12 (Min: USD50 per month)	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## Singapore Shares

### 1. General Charges

i)	Commission	For SGD / USD denominated: 0.50%-1.00% For HKD / AUD denominated: 0.50% (Min: SGD100 / USD50 / EUR70 / HKD600 / AUD100)	
ii)	Clearing Fee	0.0325% on gross consideration (For SGD denominated: Min: SGD10 / Max: SGD600)	
iii)	SGX Trading Fee	0.0075%	
iv)	T/T Charge	HKD450 for net payment to Singapore on the same trade date and settlement date	Charge may be waived if settled amount in HKD, subject to the order channel

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: SGD20/ USD20/ EUR15/ HKD150/ AUD20)	
ii)	Bonus Shares · Collection Fee	SGD20/ USD20 / EUR15 / HKD150 / AUD20 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: SGD20/ USD20 / EUR15/ HKD150/ AUD20)	
iv)	Stock Deposit / Withdrawal	Physical Deposit / Withdrawal: SGD20 / USD20 / EUR15 / HKD150 / AUD20 per stock Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.05% / 12 (Min: SGD50 per month)	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## Spain Shares

### 1. General Charges

i)	Commission	0.60%-1.00% (Min: EUR100)	
----	------------	------------------------------	--

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.7% on gross dividend (Min: EUR50)	
ii)	Bonus Shares · Collection Fee	EUR50 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: EUR50)	
iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	No physical stock deposit or withdrawal
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.05% / 12 (Min: EUR50 per month)	

## Thailand Shares

### 1. General Charges

i)	Commission	0.60%-1.50% (Min: THB2,100)	
ii)	VAT	0.0175% on gross consideration	

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD150)	
ii)	Bonus Shares · Collection Fee	HKD150 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: HKD150)	
iv)	Stock Deposit / Withdrawal	Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	No physical stock deposit or withdrawal

v)	Custody Fee	SGD50 per month	
----	-------------	-----------------	--

Services	Charges (In Hong Kong Dollars unless specified otherwise)		Remarks
----------	---	--	---------

## U.K. Shares

		For GBP Denominated Shares	For USD Denominated Shares	
--	--	-------------------------------	-------------------------------	--

### 1. General Charges

i)	Commission	0.75%-1.50% (Min: GBP75)	0.75% (Min: USD120)	
ii)	PTM Charges	Flat charge of GBP 1 on all transactions over GBP 10,000	N/A	Only apply if gross consideration over GBP10,000
iii)	Stamp Duty	0.5% on gross consideration (Min: GBP5)	0.5% on gross consideration (Min: USD7)	Only apply on buy side
iv)	T/T Charge	HKD450 for net payment to the UK on the same trade date and settlement date		Charge may be waived if settled amount in HKD, subject to the order channel

### 2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: GBP15)	0.5% on gross dividend (Min: USD20)	
ii)	Bonus Shares · Collection Fee	GBP15 per transaction	USD20 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: GBP15)	0.10% on gross offer amount (Min: USD20)	
iv)	Stock Deposit / Withdrawal	Physical Deposit / Withdrawal: GBP15 per stock Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	Physical Deposit / Withdrawal: USD20 per stock Scripless Deposit: Free Scripless Withdrawal: HKD650 per stock	
v)	Custody Fee	Waived	Waived	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## F. Futures

### 1. General Charges

Hong Kong Futures (Futures Trader Pro)	Commission <sup>6, 7</sup> (Day Trade / Overnight – per lot per side)	
Hang Seng Index Futures	HKD60 / HKD100	
H-shares Index Futures	HKD40 / HKD80	
Mini Index Futures	HKD15 / HKD25	
Hang Seng TECH Index Futures	HKD60 / HKD100	
HSI Dividend Point Index Futures	HKD50 / HKD80	
HSCEI Dividend Point Index Futures	HKD40 / HKD70	
CNH based Currency Futures	RMB40 / RMB70	
Mini CNH based Currency Futures	RMB10 / RMB20	
USD based Currency Futures (CNH/USD)	USD20	
USD based Futures	USD20	
Stock Futures	HKD300	
Hong Kong Options (Futures Trader Pro)	Commission <sup>6, 7</sup> (Day Trade / Overnight – per lot per side)	
Hang Seng Index Options	1% of Contract Value (Min:HKD50 / Max:HKD100)	
H-shares Index Options	1% of Contract Value (Min:HKD40 / Max:HKD80)	
Mini Index Options	1% of Contract Value (Min:HKD15 / Max:HKD50)	
Hang Seng TECH Index Options	1% of Contract Value (Min:HKD50 / Max:HKD100)	
MSCI Taiwan (USD) Index Options	1% of Contract Value (Min:USD10)	
CNH based Currency Options	1% of Contract Value (Min:RMB50 / Max:RMB100)	

6. Unless specified, commission does not include stamp duty, exchange fee, levy, trading fee, settlement fee, nominee service fee, custodian fee and other services fees.

7. Unless specified otherwise, a minimum commission of HKD50.00 will be charged if the total commission generated from trading of individual products from the HK futures Exchange during a trading day is less than HKD50.00.

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
Global Futures (Futures Trader Pro)	Commission <sup>8, 9</sup> (Day Trade / Overnight – per lot per side)	
CNH based Futures	RMB70	
EUR based Futures	EUR20	
GBP based Futures	GBP15	
JPY based Futures	JPY2,000	
LME based Futures	USD25	
MYR based Futures	MYR62	
USD based Futures	USD20	

## 2. Statement

i)	Statement	By Post	By Email	
		HKD30 per customer per calendar year (Please click <a href="#">here</a> to enroll for e-statement service)	Free	Individual clients who are aged 65 or above and institutional clients will be exempted.

## 3. Market Data<sup>10</sup>

CBOT CME COMEX NYMEX	N/A (Non-Professional and Greater China Regions User <sup>11</sup> )	Fill in the following form to subscribe: - Click here for <a href="#">Subscription Form</a>
	USD15 per month per user (Non-Professional and Non-Greater China Regions User)	
EUREX	EUR1 per month per user (Private Individual and Asia-Pacific <sup>12</sup> )	
	EUR12 per month per user (Private Individual and Non-Asia Pacific)	
LIFFE	USD110 per month per user	
NYBOT	USD110 per month per user	

## 4. Interest rate on overdue

i)	Charges on Late Settlement of Margin Call	Late settlement of margin call is subject to interest charges on the due amount for the overdue period (from the due date)	
		Over 2 days	For the prime rate, please

8. Unless specified, commission does not include stamp duty, exchange fee, levy, trading fee, settlement fee, nominee service fee, custodian fee and other services fees.

9. Unless specified otherwise, a minimum commission of HKD50.00 will be charged if the total commission generated from trading of individual products from the HK futures Exchange during a trading day is less than HKD50.00.

10. Please note that the full monthly fee is payable for any period of usage that is less than payable even if the market data is subscribed in the middle or at the end of the month.

11. Greater China Region means, for the purpose of this Market Data, China, Hong Kong, Macau and Taiwan.

12. Asia-Pacific means, for the purpose of this Market Data Subscription Form, the following countries or regions: South Korea, Japan, Hong Kong, Singapore, Australia, Taiwan and Macau.

		Prime Rate + 4% p.a.	refer to Standard Chartered Bank (HK) Limited from time to time.
Services	Charges (In Hong Kong Dollars unless specified otherwise)		Remarks

## G. Forex

Products	Target Spread	Commission
FX Trader Pro <sup>13</sup>	Black / Platinum / Gold / Others	USD10 (per USD100,000)
MT4 <sup>13</sup>	EBSI 1 / EBSI 2	Nil
Global Payments	Black / Platinum / Gold	Nil

## H. Bonds

i)	Safe Custody <sup>14</sup>	0.05% p.a. x the total market value of the bond holdings in your account(s) as at the end of the last Hong Kong business day of each month. Charged semi-annually. (Max: HKD2,500 for half-year)	
ii)	Scriptless Transfer – Out	USD50 per bonds	
iii)	DvP Transfer Fee	USD70 per transaction (no matter transfer-in or transfer-out)	

## I. Mutual Funds

### 1. General Transactions

i)	Front-end Fee	Disclosed in the Fund Fact Sheet, Key Fact Statement, Prospectus / Explanatory Memorandum of corresponding fund(s).	
ii)	Redemption Fee		
iii)	Management Fee		
iv)	Other Fees		

### 2. Daily Contract Note and Statement

i)	Daily Contract Note / Daily Statement / Monthly Statement	By Post	By Email	
		Free	Free	
ii)	Copies of Account / Statement	Within a year	Over a year	
		HKD50 per duplicate copy	HKD200 per duplicate copy	

13. Clients will be charged or paid daily interest (described as "Floating P/L" on statements), the amount of which is determined by EBSI Forex based on the prevailing interest rate of the base currency and the counter currency which are quoted by major participants in the FX markets, and are subject to change from time to time. Clients can obtain relevant information from their daily and monthly statements.

14. The custody fee is charged in HKD equivalent.

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
----------	---	---------

## J. Unit Linked Products

i)	Policy Charges	Disclosed in the principle brochure and statement sent to all policyholders.	
ii)	Administration Costs		
iii)	Fund Management Fees		

## K. Capital Investment Entrant Scheme

i)	Administration Fee	HKD10,000 per annum	
----	--------------------	---------------------	--

## L. Others

i)	Dormant Account Maintenance Fee	Effective July 1, 2019, a dormant account maintenance fee of HKD150 shall be applied semi-annually to customers with no trading activity for 36 months or above in their account(s).	
----	---------------------------------	--	--

- End -

Note: EBSI Private is a service under EBSI Wealth, an Everbright Securities International brand.