

Everbright Sun Hung Kai

Fees and Charges

(Effective on July 6, 2018)

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The information in this table is for customer's reference with regard to the fees and charges for transactions and various offers or services offered by the licensed corporations or registered insurance brokers of the Everbright Sun Hung Kai Company Limited ("EBSHK"). EBSHK reserves the right to revise, add or replace any fees, charges, special offers and other services from time to time. This fees and charges table is not applicable to those services offered via the EBSHK Direct platform. For further enquiries, please contact your Investment Consultant. Other relevant cost, expenses, fees, charges or interest which may be levied for customer transactions or services by banks, custodians or other third parties are not covered in this table. If there is any inconsistency or conflict between the English and Chinese versions of this table, the English version shall prevail.

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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A. HK Shares and Warrants

1. General Transactions

i)	Basic Commission ¹	0.25% on gross amount (Min: HKD100)	Each trade will be settled in the relevant stock's trading currency designated by HKEX. If the trade is not settled in HKD, fees and charges will be calculated in HKD equivalent.
ii)	Stamp Duty	0.1% on gross amount (rounded up to the nearest dollar)	
iii)	SFC Transaction Levy	0.0027% on gross amount	
iv)	HKEX Trading Fee	0.005% on gross amount	
v)	CCASS Settlement Fee	0.004% on gross amount (Min: HKD5 / Max: HKD200)	

2. Statement¹

i)	Statement	By Post	By Email	Individual clients who are aged 65 or above and institutional clients will be exempted.
		HKD30 per customer per calendar year (Effective on Jan 1, 2018. Please click here to enroll for e-statement service.)	Free	
ii)	Copies of Account / Statement	Within a year	Over a year	
		HKD50 per duplicate copy	HKD200 per duplicate copy	

3. Financing Interest Rate¹

i)	Margin Client	Prime Rate + 3% p.a. (Refer to Standard Chartered Bank (HK) Limited)		Click here for details
ii)	Charges on Late Settlement of Share Transactions	Cash client bought transaction: Late payment is subject to interest charges on the net outstanding due amount for the overdue period (from the settlement date)		
		First 3 days	Over 3 days	
		Prime Rate + 3% p.a.	18% p.a.	
iii)	Handling Fee on Forced Liquidation	HKD500 per case + 1% on liquidated amount		

1. CIES Discretionary Portfolio Management Services:

- Basic commission is 0.125% of gross transaction amount per stock trade.
- Monthly statement issued by mail or email will be provided. Monthly statement charges and reprinting charges are waived.
- It does not include margin financing service.
- It does not include market data service.

Services		Charges (In Hong Kong Dollars unless specified otherwise)		Remarks				
4. Market Data ²								
i)	Internet Trading Platform							
	a) Snapshot Real-time Quotes Services (Provided by ET Net Limited)	<ul style="list-style-type: none"> - Earn 300 free quotes upon new account activation - Earn 100 bonus quotes in the following month from each successfully executed trade - Purchase additional quotes at HKD10/100 only 		Click here to preview				
	b) Streaming Real-time Quotes Services			Click here to preview				
	· AAStocks Streaming Real-time Quotes Service	HKD298 per month						
	· AAStocks Streaming Real-time Quotes Service (+ A share)	HKD498 per month						
	c) SMS	HKD1 per price alert		Free for trade confirmation				
ii)	HK Trader Pro (Simplified Chinese) (Provided by Shenzhen Fortune Trend Technology Co Ltd)							
	· Streaming Real-time Quotes Services	<table border="1" style="width: 100%; text-align: center;"> <tr> <th style="width: 50%;">Mainland China Residents</th> <th style="width: 50%;">Others</th> </tr> <tr> <td>HKD230 per month</td> <td>HKD288 per month</td> </tr> </table>	Mainland China Residents	Others	HKD230 per month	HKD288 per month		Click here to preview
Mainland China Residents	Others							
HKD230 per month	HKD288 per month							
5. CCASS Depository Services								
i)	Physical Stock Deposit	Nil						
ii)	Physical Stock Withdrawal	HKD5 per board lot (Min: HKD100)						
iii)	Stock Deposit Fee (via CCASS)	Nil						
iv)	Stock Withdrawal Fee (via CCASS)	0.05% on gross amount (Min: HKD50 / Max: HKD500 per each stock withdrawal)						
v)	Transfer Deed	HKD5 for each transfer deed						
vi)	Express Physical Stock Re-registration	HKD200 per stock						

2. CIES Discretionary Portfolio Management Services:

- Basic commission is 0.125% of gross transaction amount per stock trade.
- Monthly statement issued by mail or email will be provided. Monthly statement charges and reprinting charges are waived.
- It does not include margin financing service.
- It does not include market data service.

Services		Charges (In Hong Kong Dollars unless specified otherwise)		Remarks
6. Nominee Services and Corporate Actions				
i)	Custody Fee	Waived		
ii)	Scrip Fee	Scripless	Physical Scrip	This is applicable when cash dividends, scrip dividends, bonus shares, bonus warrants or bonus rights are received by client for the first time on shares bought or deposited by client before book-close date.
		HKD2 per board lot (Applicable to shares held under HKSCC Nominees before book-close date)	HKD2.5 per certificate (Applicable to shares held under our Nominee Company before book-close date)	
iii)	Cash/Scrip Dividend · Collection Fee	0.5% of cash dividend collected (Min: HKD30 / RMB30 / USD5)		Fees are charged based on the denominated currency of the relevant stock.
iv)	Bonus Share · Collection Fee	HKD1 / RMB1 per board lot (Min: HKD30 / RMB30) (Max: HKD500 / RMB500)		
v)	Bonus Warrant/Rights · Collection Fee	Waived		
vi)	Physical Stock Registration Fee	HKD2.5 per certificate + HKD100 handling fee		
vii)	· Rights/Warrant/Covered Warrant Subscriptions · Warrant Conversion	HKD1 / RMB1 per board lot (Min: HKD30 / RMB30)		
viii)	Handling Fee - Callable Bull / Bear Contract	HKD1.8 / RMB1.8 per board lot (Min: HKD30 / RMB30)		
ix)	Dividend Claim/Bonus Shares	1 % on gross offer amount (Min: HKD500 / RMB500)		
x)	Cash/Open Offer Charges	0.25% on gross offer amount (Min: HKD100 / RMB100)		
xi)	Special/Conditional Offer Charges	0.25% on gross offer amount (Min: HKD100 / RMB100)		

Services		Charges (In Hong Kong Dollars unless specified otherwise)		Remarks	
7. Other Services					
i)	IPO Application Handling Fee	Non-financing and through nominee services	Through financing services	Currency of this fee varies with the trading currency of the stock.	
		HKD150	HKD100 (Plus interest)		
ii)	Telegraph Transfer (T/T)	HKD150 + actual bank charge			
iii)	Returned Check Charge	HKD300 per case			
iv)	Stop Payment Charge	HKD300 per case			
v)	Check Mark Good	HKD250 per case			
vi)	Account Balance Confirmation Certificate Letter	HKD100 per certificate per account		HKD100 for each additional account.	
vii)	Cash Withdrawal			This only applies to customers who request transfers of funds from trading accounts to bank accounts of HSBC, Hang Seng Bank, Bank of China (HK) or Standard Chartered Bank.	
	a) Same-day Transfer				Free
	b) Local Check Issuance				HKD100 per case
	c) Local CHATS (Same value day)	HKD150			

Services	Charges (In RMB unless specified otherwise)	Remarks
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B. China Connect – Shenzhen & Shanghai A Shares

1. General Transactions Charge

i)	Basic Commission	0.25% on gross amount (Min: RMB100)	Charges for Shenzhen A Shares effective from Dec 5, 2016
ii)	Handling Fee	0.00487% of the consideration of each transaction per side	
iii)	Securities Management Fee	0.002% of the consideration of each transaction per side	
iv)	Transfer Fee	- 0.002% of the consideration of each transaction per side payable under ChinaClear's China Connect Rules; and - 0.002% of the consideration of each transaction per side payable under the General Rules of CCASS for the provision of Stock Connect Northbound clearing services by HKSCC	
v)	Stamp Duty	0.1% of the consideration of each transaction on the seller	

2. CCASS Depository Services

i)	CCASS's Portfolio Fee	- 0.01% / 365 x daily stock portfolio value of Shenzhen & Shanghai A shares in HK dollars equivalent in your account(s) (Converted based on prevailing exchange rate of RMB/HK dollars) - Daily stock portfolio value of Shenzhen & Shanghai A shares is calculated on each calendar day (including all Saturdays, Sundays and public holidays), accrued daily and collected on a monthly basis (Min: HKD30 per month)	In HK dollars Charges for Shenzhen A Shares effective from Dec 5, 2016
ii)	Stock Deposit Fee (via CCASS)	Nil	
iii)	Stock Withdrawal Fee (via CCASS)	0.05% on gross amount (Min: HKD50 / Max HKD500 per stock withdrawal)	

3. Fees and Taxes

i)	Dividend Tax	- Applicable to cash dividend and bonus issue - Subject to clarification with State Administration of Taxation	Charged by State Administration of Taxation
ii)	Capital Gain Tax	- Applicable to share disposal - Subject to clarification with State Administration of Taxation	Charges for Shenzhen A Shares effective from Dec 5, 2016

Remarks: EBSHK will endeavor to update the relevant fees and charges of item B. Please visit [HKEX's official website](http://hkex.com.hk) for the latest announcement.

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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C. Stock Options

1. General Charges

i)	Commission	1% of contracted value (Min: HKD100)	
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2. Third-party Transaction Charges

i)	Exchange Fee	Tier 1: HKD3 per contract	
		Tier 2: HKD1 per contract	
		Tier 3: HKD0.5 per contract	

3. Fees due to Option Exercise (Physical Delivery of the Underlying Shares)

i)	Exercise Fee	HKD2 per contract	
ii)	Stamp Duty	0.1% on gross amount (rounded up to the nearest dollar)	
iii)	SFC Transaction Levy	0.0027% on gross amount	
iv)	HKEX Trading Fee	0.005% on gross amount	
v)	CCASS Settlement Fee	0.004% on gross amount (Min: HKD5 / Max: HKD200)	
vi)	Commission	0.25% on gross amount (Min: HKD100)	

4. Other Charges

i)	Fund Transfer by CHATS (Same value day) - Written / Online Request	HKD150	
ii)	Telegraphic Transfer (T/T)	HKD150 + actual bank charge	
iii)	Returned Check Charge	HKD300 per case	
iv)	Stop Payment Charge	HKD300 per case	
v)	Check Mark Good	HKD250 per case	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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D. B-Shares

Shanghai

i)	Commission	0.5% on gross amount (Min: USD25)	
ii)	Stamp Duty	0.10% on gross amount	On seller side
iii)	SSE Levy	0.00487% on gross amount	
iv)	Settlement Fee	0.05% on gross amount	
v)	CSRC Levy	0.002% on gross amount	
vi)	Nominee Services and Corporate Actions		
	a) Cash/Script Dividend · Collection Fee	0.5% on gross dividend (Min: USD20)	
	b) Bonus Share · Collection Fee	USD20 per transaction	
	c) B shares to H shares Conversion	USD20 per transaction	
	d) Other Corporate Actions	0.10% on gross offer amount (Min: USD20)	
vii)	Custody Fee	Waived	

Shenzhen

i)	Commission	0.5% on gross amount (Min: HKD100)	
ii)	Stamp Duty	0.10% on gross amount	On seller side
iii)	SSE Levy	0.00487% on gross amount	
iv)	Clearing Fee	0.05% on gross amount (Max: HKD500)	
v)	CSRC Levy	0.002% on gross amount	
vi)	Nominee Services and Corporate Actions		
	a) Cash/Script Dividend · Collection Fee	0.5% on gross dividend (Min: HKD100)	
	b) Bonus Share · Collection Fee	HKD100 per transaction	
	c) B shares to H shares Conversion	HKD100 per transaction	
	d) Other Corporate Actions	0.10% on gross offer amount (Min: HKD100)	
vii)	Custody Fee	Waived	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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E. Overseas Shares³

US Shares

		Traded via Online Platform ⁴	Traded via Investment Consultants	
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1. General Charges

i)	Commission	USD0.03 per share (Order ≤2,000 shares) USD0.025 per share (Order >2,000 shares or ≤5,000 shares) USD0.02 per share (Order >5,000 shares) (Min: USD15)	Standard Rate: 0.5%-1.5% (Min: USD50)	
ii)	SEC fee	Included in the commission	0.00231% of trade value	Only applied on Sell Side

2. Fund Transfer

i)	Fund Deposit	Free	Free	If the fund is not from trades or corporate events, a 10-business day holding period before withdrawal is required.
ii)	Fund Withdrawal	USD20	Free	

3. Stock Transfer

i)	Physical Stock Deposit	N/A (Scripless Deposit: Free)	N/A	
ii)	Physical Stock Withdrawal	N/A (Scripless Withdrawal: USD50 per stock)	N/A (Scripless Withdrawal: USD50 per stock)	

3. a. The following Custody Fee may be charged in HKD equivalent.

b. There may be telegraph transfer T/T charges that apply to certain securities or particular security trading services provided that are charged by the relevant service providers. The Customer is fully responsible for such charges.

4. For customers who send an extraordinary number of non-marketable limit orders to the NYSE, and who route such orders directly to NYSE, rather than using US Trader II Smart Routing, system reserves the right to impose a surcharge in order to defray specialist order handling charges.

Services	Charges (In Hong Kong Dollars unless specified otherwise)		Remarks	
4. Other Fees				
i)	Nominee Services and Corporate Actions a) Cash/Script Dividend · Collection Fee b) Bonus Shares · Collection Fee c) Other Corporate Actions	Free Free 0.10% on gross offer amount (Min: USD20)	0.50% on gross dividend (Min: USD20) USD20 per transaction 0.10% on gross offer amount (Min: USD20)	
ii)	Custody Fee	Waived	Waived	
iii)	Dealer Assisted Phone Order	USD30	N/A	
iv)	Market data ⁵	Please visit Market Data Fees page of Interactive Brokers Platform for details	N/A	
v)	Monthly Activity Fee (Levied by Interactive Brokers) a) Average Cash/Stock holdings of USD 100,000 or more b) Trading of 1 transaction or more c) All others	Waived Waived Up to USD10*		*The actual Fee levied may be less according to the accrued commission charged.

Remarks: Initial deposit to activate a US trading account is USD5,000. If there is no deposit in 45 days after account opening, the account will be automatically closed by systems.

5. The fee for US Securities and Futures Value Bundle / US Securities Lite Value Bundle will be waived if the clients have traded 6,000 shares or above within a month.

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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Australia Shares

1. General Charges

i)	Commission	0.50%-1.50% (Min: AUD150)	
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2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: AUD20)	
ii)	Bonus Shares · Collection Fee	AUD20 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: AUD20)	
iv)	Physical Stock Deposit / Withdrawal	N/A (Scripless Withdrawal: HKD650 per stock)	
v)	Custody Fee	Waived	
vi)	T/T Charge	HKD450 for net payment to Australia on the same trade date and settlement date, AUD30 for net payment from Australia on the same trade date and settlement date	Charge may be waived if settled amount in HKD, subject to the order channel

Canada Shares

1. General Charges

i)	Commission	0.40%-1.50% (Min: CAD50)	
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2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: CAD20)	
ii)	Bonus Shares · Collection Fee	CAD20 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: CAD20)	
iv)	Physical Stock Deposit / Withdrawal	CAD20 per stock (Scripless Withdrawal: HKD650 per stock)	
v)	Custody Fee	Waived	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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France Shares

1. General Charges

i)	Commission	0.60%-1.00% (Min: EUR100)	
ii)	Financial Transaction Tax	0.30% on gross consideration	For Buy Side only, applies to France stock which Capitalisation exceeds EUR1 billion

2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.7% on gross dividend (Min: EUR50)	
ii)	Withholding Tax	30% of gross dividend	
iii)	Rights Issue Handling Fee	EUR50 per transaction	
iv)	Bonus Shares · Collection Fee	EUR50 per transaction	
v)	Other Corporate Actions	0.10% on gross offer amount (Min: EUR50)	
vi)	Stock Transfer	EUR50 per stock	No physical certificate deposit or withdrawal
vii)	Custody Fee	Charged monthly based on month-end portfolio value x 0.05% / 12 (Min: EUR50 per month)	
viii)	T/T Charge	HKD450 for net payment to France on the same trade date and settlement date	Charge may be waived if settled amount in HKD, subject to the order channel

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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Germany Shares

1. General Charges

i)	Commission	0.60%-1.00% (Min: EUR100)	
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2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.7% on gross dividend (Min: EUR50)	
ii)	Withholding Tax	30% of gross dividend	
iii)	Rights Issue Handling Fee	EUR50 per transaction	
iv)	Bonus Shares · Collection Fee	EUR50 per transaction	
v)	Other Corporate Actions	0.10% on gross offer amount (Min: EUR50)	
vi)	Stock Transfer	EUR50 per stock	No physical certificate deposit or withdrawal
vii)	Custody Fee	Charged monthly based on month-end portfolio value x 0.05% / 12 (Min: EUR50 per month)	
viii)	T/T Charge	HKD450 for net payment to Germany on the same trade date and settlement date	Charge may be waived if settled amount in HKD, subject to the order channel

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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Indonesia Shares

1. General Charges

i)	Commission	0.70%-1.50% (Min: IDR550,000)	
ii)	Levy Fee	0.043% on gross consideration	
iii)	Withholding Tax	0.10% on gross consideration	Only applied on sell trade

2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD150)	
ii)	Bonus Shares · Collection Fee	HKD150 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: HKD150)	
iv)	Physical Stock Deposit / Withdrawal	N/A (Scripless Withdrawal: HKD650 per stock)	
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.28% / 12 (Min: USD30 per month) + 10% tax on the custody fee charged monthly	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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Italy Shares

1. General Charges

i)	Commission	0.60%-1.00% (Min: EUR100)	
ii)	Financial Transaction Tax	0.12% on gross consideration on all regulated markets, and 0.22% on gross consideration in OTC markets	For Buy Side only

2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.7% on gross dividend (Min: EUR50)	
ii)	Withholding Tax	30% of gross dividend	
iii)	Rights Issue Handling Fee	EUR50 per transaction	
iv)	Bonus Shares · Collection Fee	EUR50 per transaction	
v)	Other Corporate Actions	0.10% on gross offer amount (Min: EUR50)	
vi)	Stock Transfer	EUR50 per stock	No physical certificate deposit or withdrawal
vii)	Custody Fee	Charged monthly based on month-end portfolio value x 0.05% / 12 (Min: EUR50 per month)	
viii)	T/T Charge	HKD450 for net payment to France on the same trade date and settlement date	Charge may be waived if settled amount in HKD, subject to the order channel

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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Japan Shares

1. General Charges

i)	Commission	0.50%-1.50% (Min: JPY5,500)	
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2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: JPY2,000)	
ii)	Bonus Shares · Collection Fee	JPY2,000 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: JPY2,000)	
iv)	Physical Stock Deposit / Withdrawal	N/A (Scripless Withdrawal: HKD650 per stock)	
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.03% / 12 (Min: JPY 2,500 per month)	
vi)	T/T Charge	HKD450 for net payment to Japan on the same trade date and settlement date, 0.05% amount with minimum JPY6,000 for net payment from Japan on the same trade date and settlement date	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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Korea Shares

1. General Charges

i)	Commission	0.50%-1.50% (Min: KRW60,000)	
ii)	Transaction Tax	0.30% on gross consideration (for normal trade) 0.50% on gross consideration (for over-the-counter trade)	Only applied on sell trade

2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD150)	
ii)	Bonus Shares · Collection Fee	HKD150 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: HKD150)	
iv)	Physical Stock Deposit / Withdrawal	N/A	
v)	Custody Fee	Waived	

Malaysia Shares

1. General Charges

i)	Commission	0.60%-1.50% (Min: MYR190)	
ii)	Stamp Duty	MYR1.00 for every MYR1,000 gross consideration (Max: MYR200)	
iii)	Clearing Fee	0.03% on gross consideration (Min: MYR10 / Max: MYR1,000)	

2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD150)	
ii)	Bonus Shares · Collection Fee	HKD150 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: HKD150)	
iv)	Physical Stock Deposit / Withdrawal	N/A (Scripless Withdrawal: HKD650 per stock)	
v)	Custody Fee	MYR50 per month	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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Philippines Shares

1. General Charges

i)	Commission	0.50%-1.50% (Min: PHP2,400)	
ii)	VAT	0.03% on gross consideration (Min: PHP30)	
iii)	Transaction Tax	0.50% on gross consideration (Min: PHP1,000)	
iv)	Clearing Fee	0.01% on gross consideration	

2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD150)	
ii)	Bonus Shares · Collection Fee	HKD150 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: HKD150)	
iv)	Physical Stock Deposit / Withdrawal	N/A (Scripless Withdrawal: HKD650 per stock)	
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.08% / 12 (Min: USD50 per month)	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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Singapore Shares

1. General Charges

i)	Commission	For SGD / USD denominated: 0.50%-1.00% For HKD / AUD denominated: 0.50% (Min: SGD100 / USD50 / HKD600 / AUD100)	
ii)	Clearing Fee	0.0325% on gross consideration (For SGD denominated: Min: SGD10 / Max: SGD600)	
iii)	SGX Trading Fee	0.0075%	
iv)	T/T Charge	HKD450 for net payment to Singapore on the same trade date and settlement date	Charge may be waived if settled amount in HKD, subject to the order channel

2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: SGD20 / USD20 / HKD150 / AUD20)	
ii)	Bonus Shares · Collection Fee	SGD20/ USD20 / HKD150 / AUD20 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: SGD20 / USD20 / HKD150 / AUD20)	
iv)	Physical Stock Deposit / Withdrawal	SGD20 / USD20 / HKD150 / AUD20 per stock (Scripless Withdrawal: HKD650 per stock)	
v)	Custody Fee	Charged monthly based on month-end portfolio value x 0.05% / 12 (Min: SGD50 per month)	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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Thailand Shares

1. General Charges

i)	Commission	0.60%-1.50% (Min: THB2,100)	
ii)	VAT	0.0175% on gross consideration	

2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: HKD150)	
ii)	Bonus Shares · Collection Fee	HKD150 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: HKD150)	
iv)	Physical Stock Deposit / Withdrawal	N/A (Scripless Withdrawal: HKD650 per stock)	
v)	Custody Fee	SGD50 per month	

Services	Charges (In Hong Kong Dollars unless specified otherwise)		Remarks
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UK Shares

		For GBP Denominated Shares	For USD Denominated Shares	
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1. General Charges

i)	Commission	0.75%-1.50% (Min: GBP75)	0.75% (Min: USD120)	
ii)	PTM Charges	Flat charge of GBP 1 on all transactions over GBP 10,000	N/A	Only apply if gross consideration over GBP10,000
iii)	Stamp Duty	0.5% on gross consideration (Min: GBP5)	0.5% on gross consideration (Min: USD7)	Only apply on buy side

2. Nominee Services and Corporate Actions

i)	Cash/Scrip Dividend · Collection Fee	0.5% on gross dividend (Min: GBP15)	0.5% on gross dividend (Min: USD20)	
ii)	Bonus Shares · Collection Fee	GBP15 per transaction	USD20 per transaction	
iii)	Other Corporate Actions	0.10% on gross offer amount (Min: GBP15)	0.10% on gross offer amount (Min: USD20)	
iv)	Physical Stock Deposit / Withdrawal	GBP15 per stock (Scripless Withdrawal: HKD650 per stock)	USD20 per stock (Scripless Withdrawal: HKD650 per stock)	
v)	Custody Fee	Waived	Waived	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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F. Futures

1. General Charges

Hong Kong Futures (Futures Trader Pro)	Commission ^{6, 7} (Day Trade / Overnight – per lot per side)	Remarks
Hang Seng Index Futures	HKD60 / HKD100	
Mini-Hang Seng Index Futures	HKD15 / HKD25	
H-shares Index Futures	HKD40 / HKD80	
Mini H-shares Index Futures	HKD15 / HKD25	
Hang Seng Index Options	1% of Contract Value (Min: HKD50 / Max: HKD100)	
Mini Hang Seng Index Options	1% of Contract Value (Min: HKD15 / Max: HKD50)	
H-shares Index Options	1% of Contract Value (Min: HKD40 / Max: HKD80)	
USD/CNH Futures	RMB40 / RMB70	Active Trader Program has been extended to Dec 31, 2018 (Day Session), subject to change from time to time as advised by HKEX. Please visit HKEX's official website for details.
CNH/USD Futures	USD20	Exchange Fee is waived until Jun 29, 2018 (Day Session), subject to change from time to time as advised by HKEX. Please visit HKEX's official website for details.
Stock Futures	HKD300	

Global Futures (Futures Trader Pro)	Commission ^{6, 7} (Day Trade / Overnight – per lot per side)	Remarks
AUD based products	AUD20	
EUR based products	EUR20	
GBP based products	GBP15	
JPY based products	JPY2,000	
LME based products	USD25	
MYR based products	MYR62	
USD based products	USD20	

6. Unless specified, commission does not include stamp duty, exchange fee, levy, trading fee, settlement fee, nominee service fee, custodian fee and other services fees.

7. Unless specified otherwise, a minimum commission of HKD50.00 will be charged if the total commission generated from trading of individual products from the HK futures Exchange during a trading day is less than HKD50.00.

Services	Charges (In Hong Kong Dollars unless specified otherwise)		Remarks
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2. Statement

		By Post	By Email	
i)	Statement	HKD30 per customer per calendar year (Effective on Jan 1, 2018. Please click here to enroll for e-statement service.)	Free	Individual clients who are aged 65 or above and institutional clients will be exempted.

3. Market Data (Provided by Intercontinental Exchange (“ICE”))

ICE Futures U.S. - Cocoa - Cotton - US Dollar Index - Coffee - Orange Juice - Sugar #11 - Mini Gold - Mini Silver	USD110 per month per user	Fill in the following forms to subscribe. - Click here for Subscription Form - Click here for ICE Subscription Agreement
ICE Futures Europe – Financials - FTSE 100	USD110 per month per user	

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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G. Forex

Products	Target Spread	Commission
FX Trader Pro ⁸	Black / Platinum / Gold / Others	USD10 (per USD100,000)
MT4 ⁸	SHK1 / SHK2	Nil
Global Payments	Black / Platinum / Gold	Nil

H. Bonds

i)	Safe Custody ⁹	0.05% p.a. x the total nominal value of the bond holdings in your account(s) as at the end of the last Hong Kong business day of each month. Charged semi-annually. (Max: HKD2,500 for half-year)	
ii)	Scripless Transfer – Out	USD50 per bonds	
iii)	DvP Transfer Fee	USD70 per transaction (no matter transfer-in or transfer-out)	

I. Mutual Funds

1. General Transactions

i)	Front-end Fee	Disclosed in the Fund Fact Sheet, Key Fact Statement, Prospectus / Explanatory Memorandum of corresponding fund(s).	
ii)	Redemption Fee		
iii)	Management Fee		
iv)	Other Fees		

2. Daily Contract Note and Statement

i)	Daily Contract Note / Daily Statement / Monthly Statement	By Post	By Email	
		Free	Free	
ii)	Copies of Account / Statement	Within a year	Over a year	
		HKD50 per duplicate copy	HKD200 per duplicate copy	

8. Clients will be charged or paid daily interest (described as "Floating P/L" on statements), the amount of which is determined by EBSHKFX based on the prevailing interest rate of the base currency and the counter currency which are quoted by major participants in the FX markets, and are subject to change from time to time. Clients can obtain relevant information from their daily and monthly statements.

9. The custody fee is charged in HKD equivalent.

Services	Charges (In Hong Kong Dollars unless specified otherwise)	Remarks
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J. Unit Linked Products

i)	Policy Charges	Disclosed in the principle brochure and statement sent to all policyholders.	
ii)	Administration Costs		
iii)	Fund Management Fees		

K. Capital Investment Entrant Scheme

i)	Administration Fee	HKD10,000 per annum	
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